



Certification body & risk assessment		
Name of Certification Body		<i>Logo of Certification Body</i>
Registration number SURE		
Name of accrediting body		
Accredited scope(s)		
Date of accreditation		

### Contact details of the certification body

Address: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Country: \_\_\_\_\_

Person responsible: \_\_\_\_\_

Phone number: \_\_\_\_\_

Email address: \_\_\_\_\_ Website: \_\_\_\_\_

### Risk assessment

The audit was conducted based on the following risk assessment:

Name of risk assessment (file)	
Date of the assessment	
Result (e.g. low, standard, high)	
Comment	

Other voluntary schemes		■ N/A
The economic operator has or had a certificate of (an) other voluntary scheme(s) recognized under Revised Directive (EU) 2018/2001 art. 30 (4) or (6) <i>(expand list if necessary)</i>		
Name of the voluntary scheme		
ID-Number of certificate		
Scope of the certificate		
Current status of certificate (e.g. valid, suspended, withdrawn, terminated)		
Valid until		

**Important: All fields are mandatory!**

# Checklist: Forest biomass producers

1. Information about the operation			
Company (name of the operation)			
Group Certification of producers of forest biomass <i>Please fill in 4!</i>		<input type="checkbox"/>	
2. Scope of application			
1201 - Groupmanager of forest biomass producers		<input type="checkbox"/>	
1202 - Producer of forest biomass		<input type="checkbox"/>	
Phase: Greenhouse gas (GHG) calculation			
7001 - GHG calculation (default values)		<input type="checkbox"/>	
7002 - GHG calculation (actual values)		<input type="checkbox"/>	
7101 - Grandfathering rules "RED II sustainability criteria"		<input type="checkbox"/>	
7102 - Grandfathering rules "RED II GHG criteria"		<input type="checkbox"/>	
3. Information on GHG data			■ N/A
Type of greenhouse gas data (multiple options possible)	<input type="checkbox"/> default values	<input type="checkbox"/> disaggregated default values	
	<input type="checkbox"/> NUTS 2	<input type="checkbox"/> actual values	
4. Group Certification of producers of forest biomass			■ N/A
Number of producers supplying forest biomass			
Producer of forest biomass inspected as part of the random sample  <i>min. square root of the total number of sites. Enter name of the operating site and inspection date.</i>  <i>Expand list if necessary!</i>		Producer of forest biomass Name, street, post code, city	Inspection date
	1		
	2		
	3		
	4		
	5		
	6		
	7		
8			
Important: All fields are mandatory!			

# Checklist: Forest biomass producers

## 5. Information on estimated harvest of type and amount of sustainable biomass

Quantity of <u>estimated</u> annual sustainable biomass		Type of biomass			Quantity	Unit in tons (t)
		Product	Waste/ Residue - Forest	Waste/ Residue - Other		
<i>Expand list if necessary!</i>	1					
	2					
	3					
	4					
	5					

## 6. Information on actual harvest of type and amount of sustainable biomass

<u>Actual</u> quantity of outgoing sustainable biomass in the last calendar year		Type of biomass			Quantity	Unit in tons (t)
		Product	Waste/ Residue - Forest	Waste/ Residue - Other		
<i>Expand list if necessary!</i>	1					
	2					
	3					
	4					
	5					

**Important: All fields are mandatory!**

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Checklist: Forest biomass producers / CL-FOREST-en-3.1 / Date: 10.10.2025, Valid from: 15.10.2025

# Checklist: Forest biomass producers

Key	
Conform = full compliance	<span style="background-color: #ffffcc; border: 1px solid black; display: inline-block; width: 15px; height: 10px;"></span> = Input field
Minor NC = limited, isolated, temporary, not systematic	
Major NC = potentially reversible, repeated and systematic	<span style="background-color: #ff8c00; border: 1px solid black; display: inline-block; width: 15px; height: 10px;"></span> = Input field with KO evaluation
Critical / KO = intentional, irreversible, jeopardising integrity	
N/A = scheme requirement is not applicable	<span style="background-color: #cccccc; border: 1px solid black; display: inline-block; width: 15px; height: 10px;"></span> = Input not possible

Company name:			Audit date:					Comments / description of the inspected documents / records / certificates
Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	
<b>1</b>		<b>General principles and requirements of the SURE system</b>						
<b>1.1</b>		<b>Organisation and administration of a group</b>					□ N/A	
A		1.1.1	Are the general conditions for the organisation, management and composition of groups in accordance with the SURE-EU system fulfilled?					
A		1.1.2	Is there a central group administrative office responsible for the organisation and internal monitoring of the group members?					
A		1.1.3	Is there a current and complete site directory?					
A		1.1.4	Is the group homogeneous? Do the group members have - comparable production systems and products? - near adjacent areas? - similar characteristics?					
A		1.1.5	Are there valid contracts/invoices between the individual operations and the group management regulating their relationship?					
A		1.1.6	Is an internal check carried out to determine whether new members satisfy the scheme requirements before they can join the group?					
<b>1.2</b>		<b>General Requirements</b>						
A	I	1.2.1	Is there a written commitment to comply with the scheme requirements within the scope for each operation/operating site of the company? (e.g. in the form of a certificate or contract with SURE or a self declaration)					
A		1.2.2	Does the company comply with the requirements specified in the SURE document "Regulation for the use of the registered trademark 'SUSTAINABLE RESOURCES Verification Scheme' "?					
A		1.2.3	Are the requirements for using the Union Database (UDB) met?					
A		1.2.4	Is the information in the Union database (UDB) correct (e.g. VAT-ID, legal form, contact details)?					
A		1.2.5	Do the data recorded in the UDB match the data in the SURE data base?					
A		1.2.6	Is the scope specified consistent with the scope entered in the SURE database?					
A		1.2.7	Is the information in the SURE database up-to-date (e.g. contact persons, e-mail addresses, operating sites, etc.)?					
A		1.2.8	Have the quantities of sustainable biomass according to SURE-EU been correctly entered in the database for the last calendar year?					
A	I	1.2.9	Are the requirements to make use of Article 29 (15) of Directive (EU) 2023/2413 (RED III) the so called grandfathering clause fulfilled?					
A	I	1.2.10	If the biomass comes from natural forest, is the status of the area as natural forest be maintained?					
A	I	1.2.11	If the biomass was produced in forestry plantations or agroforestry systems, can it be documented that the land was not natural forest before 2008?					
A	I	1.2.12	If land was converted after 1 January 2008, can it be proven that the conversion and use of the land does not contradict the requirements of Art. 29 of the Revised Directive (EU) 2018/2001?					
A	I	1.2.13	Is there a current and valid risk assessment of the unsustainable production of forest biomass available that is not older than 5 years at the time of the audit?					

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
A	I	1.2.14	Was the risk assessment carried out methodically correct?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
A	I	1.2.15	Does the assessment of the risk of the unsustainable production of forest biomass correspond to the economic operator's self-declaration?						
		<b>1.3</b>	<b>Management system and operational structure</b>						
A		1.3.1	Is there a clear description of the organisational structure of the operation and can relevant procedures and processes be documented transparently?						
A		1.3.2	If transshipment points are used, was their status as transshipment points verified on site at least once by the certification body responsible?						
A		1.3.3	Are only activities performed at the designated transshipment points that classify it as a transshipment point within the meaning of the SURE definition? (N/A if the transshipment point was already verifiable checked in a previous inspection)						
A		1.3.4	Do business relationships exist with third parties (subcontractors, external service providers, intermediaries) and are there contracts with them that ensure that all information necessary to meet the scheme requirements is transmitted?						
A		1.3.5	Is there a documented procedure for dealing with non-conformities and is it followed? Are corrective measures undertaken as quickly as possible?						
A		1.3.6	Are preventative measures e.g. in form of a risk management scheme formulated and implemented to prevent or mitigate future non-conformities from occurring?						
A		1.3.7	Are the responsibilities and duties of the employees clearly stipulated and documented in writing?						
A		1.3.8	Are the people affected aware of their duties?						
A		1.3.9	Has the operation appointed someone responsible for properly implementing and maintaining the QM system for SURE?						
		<b>1.4</b>	<b>Traceability and documentation</b>						
A	I	1.4.1	Can the economic operator clearly identify the area where the biomass is produced with geographical coordinates by means of a polygon or an unambiguous designation of the parcel of land, forest parcel, plot or similar?						
A	I	1.4.2	Can on the basis of the available area certificates and, where appropriate additional documentation, a clear allocation of the biomass declared as sustainable to the sourcing area be determined?						
A		1.4.3	Is there transparent documentation available that makes it possible to check compliance with the SURE requirements?						
A		1.4.4	Are the necessary documents and records checked to ensure that they are up-to-date and complete and kept in a safe place?						
A		1.4.5	Are the documents and records clearly legible and is there a transparent link between the biomass and the records?						
A		1.4.6	Are the documents and records kept in line with the valid audit intervals and can they be provided?						
A		1.4.7	The self-declaration(s) submitted to the Groupmanager is/are legible, complete and correct.						
A		1.4.8	Are all consignments to or services for other economic operators contractually defined and is the respective flow of goods documented?						
A		1.4.9	Are all necessary data and information provided to the buyer of sustainable biomass?						
A		1.4.10	Is the confidential handling of sensitive company-related data passed on to the downstream operations guaranteed?						
A	I	1.4.11	Are the scheme requirements satisfied when proofs of sustainability are issued?						
A	I	1.4.12	Are the issued proofs of sustainability complete, correct and consistent (e.g. SURE template, national databases like Nabisy, Union Database (UDB))?						
A	I	1.4.13	Are the proofs of sustainability and the documents required for their issuance kept for at least 5 years?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates	
		<b>1.5</b>	<b>Qualifications of economic operators</b>							
A		1.5.1	Are the employees responsible in the company aware of the requirements of Revised Directive (EU) 2018/2001 and the SURE system and do they have the necessary knowledge (qualification) to meet them?							
A		1.5.2	Can the responsible employees of the company demonstrate sufficient qualification and do they know the valid legal basis for handling biomass and its operational implementation and application?							
A		1.5.3	Are the employees verifiably trained to fulfil their duties (certificates) or can their qualifications be plausibly proven otherwise?							
A		1.5.4	Can the company demonstrate that the employees are aware of how possible non-conformities are dealt with and how the risk of potential non-conformities can be reduced?							
		<b>1.6</b>	<b>Mass balance system</b>							
A		1.6.1	Has the operation implemented an appropriate mass balance system to ensure compliance with the requirements of Revised Directive (EU) 2018/2001 and/or the SURE EU scheme?							
A		1.6.2	Does the operation have appropriate technical equipment or procedures to carry out the mass balance accurately and properly?							
A		1.6.3	Is balancing of sustainable biomass documented and does it include the necessary records of the biomass received, changed in the operating process and delivered?							
A		1.6.4	Does balancing of sustainable biomass occur at permissible intervals defined by the operation?							
A		1.6.5	Was the accounting process complete and correct?							
A		1.6.6	Are the registered quantities, transaction and mass balance periods in the UDB correct and plausible (including all sites)?							
		<b>1.7</b>	<b>International agreements and conventions</b>							
A	I	1.7.1	Is the biomass in line with the requirements of the Convention on Biological Diversity (CITES)?							
A	I	1.7.2	Have ILO core labour standards 29, 87, 98, 100, 105, 111, 138, 182 been ratified in the country?							

		<b>2</b>	<b>GHG calculation</b>							▪ N/A
		<b>2.1</b>	<b>General GHG calculation</b>							
A	I	2.1.1	Are the requirements for the use of (disaggregated) default values - if applicable - met in accordance with the Revised Directive (EU) 2018/2001 and are they applied correctly?							
A	I	2.1.2	Is the methodology for reporting or calculating GHG emissions based on actual values - if applicable - understood and correctly applied in accordance with the Revised Directive (EU) 2018/2001?							
A	I	2.1.3	Are the required calculations carried out complete and plausible?							
A	I	2.1.4	Are all required information and data used documented, up-to-date and complete? (see scheme principles for interfaces, section 4.3 and scheme principles for GHG calculation)							

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
<b>3 Specific requirements for forestry biomass or biomass fuels</b>									
<b>3.1 Legality of harvesting, transport and use of forestry biomass (proof of Option A or Option B)</b>									
<b>3.1.1 Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 3.1.2) <span style="float: right;">☐ N/A</span></b>									
	I	3.1.1.1	Are there national or sub-national laws in force in the country of the extraction area that ensure the legality of timber harvesting as set out in point (h) of Article 2 of Regulation (EU) No 995/2010 and are they adequately enforced?						
	I	3.1.1.2	Are the present legal provisions up-to-date and complete?						
	I	3.1.1.3	Do these laws fully cover the present sourcing area?						
	I	3.1.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						
	I	3.1.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
<b>3.1.2 Option B: Proof of control of the economic operator (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification) <span style="float: right;">☐ N/A</span></b>									
A	I	3.1.2.1	Can compliance with the due diligence requirements system defined in article 6 of Regulation (EU) No 995/2010 of the European Parliament and of the Council be proven?						
A	I	3.1.2.2	Can the economic operator document that he is the owner of the land and has the right to harvest, transport or trade the biomass or can he prove beyond doubt that he has transferred such rights?						
A	I	3.1.2.3	On the basis of the available area certificates and documentation, there are no indications that the requirements of national legislation are not being met.						
A	I	3.1.2.4	Can all necessary import or export licences and customs declarations be presented for the import or export of the biomass and is it ensured that trade is not subject to sanctions or import restrictions?						
A	I	3.1.2.5	Are the quantity and harvest date of the forestry biomass properly documented?						
A	I	3.1.2.6	Can the type and species of the harvested biomass be clearly identified by its scientific name?						
A	I	3.1.2.7	Are international conventions (e. g. the Convention on Biological Diversity (CITES)) respected and complied with?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
<b>3.2 Forest regeneration of the harvest areas (proof of Option A or Option B)</b>									
<b>3.2.1 Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 3.2.2) <input type="checkbox"/> N/A</b>									
	I	3.2.1.1	Do national or sub-national laws apply in the country of the sourcing area to ensure that <b>forest regeneration</b> takes place in the same area and within at least ten years after the harvesting operation and are they <b>sufficiently enforced</b> ?						
	I	3.2.2.2	Do national or sub-national laws apply in the country of the sourcing area to ensure that there is no <b>biodiversity degradation</b> in the regenerated forest area, including that primary forests and natural or semi-natural forests are not degraded to or replaced with plantation forests and are they <b>sufficiently enforced</b> ?						
	I	3.2.1.3	Are the present legal provisions up-to-date and complete?						
	I	3.2.1.4	Do these laws fully cover the present sourcing area?						
	I	3.2.1.5	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						
	I	3.2.1.6	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
<b>3.2.2 Option B: Proof of control of the economic operator (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification) <input type="checkbox"/> N/A</b>									
A	I	3.2.2.1	If the type of forestry biomass harvested requires forest regeneration, is the forest regeneration of the harvested area documented by the establishment of tree species appropriate to the location?						
<b>3.3 Protection of areas designated for nature conservation (proof of Option A or Option B)</b>									
<b>3.3.1 Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 3.3.2) <input type="checkbox"/> N/A</b>									
	I	3.3.1.1	Are there laws at national or sub-national level in the country of the sourcing area which ensure that <b>areas designated</b> by international or national legislation or by the competent authority <b>for nature conservation</b> purposes have been protected, including in wetlands, grassland, heathland and peatlands?						
	I	3.3.1.2	Are the present legal provisions up-to-date and complete?						
	I	3.3.1.3	Do these laws fully cover the present sourcing area?						
	I	3.3.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
	I	3.3.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
		<b>3.3.2</b>	<b>Option B: Proof of control of the economic operator</b> (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification)	<input type="checkbox"/> N/A					
A	I	3.3.2.1	If the biomass originates from land within protected areas, including in wetlands, grassland, heathland and peatlands <b>and</b> provided that the biomass does not stem from areas where no biomass may be harvested, can it be documented that appropriate harvesting is permitted and that there are no indications that conditions of the designated area have not been met?						
		<b>3.4</b>	<b>Regulation of forest biomass harvesting in highly biodiverse land</b> (proof of Option A or Option B)						
		<b>3.4.1</b>	<b>Option A: Proof of Risk Based Approach</b> (If N/A or a criterion is not fully met, continue with 3.4.2)	<input type="checkbox"/> N/A					
	I	3.4.1.1	Do national or sub-national laws apply in the country of the sourcing area to ensure that forest biomass is not sourced from land with a high value for biological diversity in or after 01.01.2008 (primary and old-growth forest, natural highly biodiverse grassland and heathland) <b>and are they sufficiently enforced?</b>						
	I	3.4.1.2	Do national or sub-national laws apply in the country of the sourcing area to ensure that forest biomass is not sourced from highly biodiverse forest, unless evidence is provided that nature protection purposes were not affected, <b>and are they sufficiently enforced?</b>						
		3.4.1.3	Do national or sub-national laws apply in the country of the sourcing area to ensure that forest biomass is not sourced from non-natural highly biodiverse grassland, unless evidence is provided that the harvesting is necessary to preserve it as such, <b>and are they sufficiently enforced?</b>						
	I	3.4.1.4	Are the present legal provisions up-to-date and complete?						
	I	3.4.1.5	Do these laws fully cover the present sourcing area?						
	I	3.4.1.6	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information <b>sufficiently documented?</b>						
	I	3.4.1.7	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
		<b>3.4.2</b>	<b>Option B: Proof of control of the economic operator</b> (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification)	<input type="checkbox"/> N/A					
A	I	3.4.2.1	Can it be proven that the forest biomass does not stem from highly biodiverse area, i.e. area that had the status of primary and old-growth forest, natural highly biodiverse grassland and heathland in or after January 2008?						
A	I	3.4.2.2	If the biomass originates from highly biodiverse forest, can it be documented that nature protection purposes were not affected?						
A	I	3.4.2.3	If the biomass originates from non-natural highly biodiverse grassland, can it be confirmed that the harvesting is necessary to preserve it as such?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
<b>3.5</b> Regulation of forest biomass harvesting in wetland (proof of Option A or Option B)									
<b>3.5.1</b> Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 3.5.2) <span style="float: right;"><input type="checkbox"/> N/A</span>									
	I	3.5.1.1	Do national or sub-national laws apply in the country of the sourcing area to ensure that forest biomass is not sourced from land that had the status of wetland in January 2008, and are they sufficiently enforced?						
	I	3.5.1.2	Are the present legal provisions up-to-date and complete?						
	I	3.5.1.3	Do these laws fully cover the present sourcing area?						
	I	3.5.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						
	I	3.5.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
<b>3.5.2</b> Option B: Proof of control of the economic operator (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification) <span style="float: right;"><input type="checkbox"/> N/A</span>									
A	I	3.5.2.1	Can it be proved, considering seasonal changes within a year, that forest biomass is not sourced from land that had the status of wetland in January 2008?						
<b>3.6</b> Regulation of forest biomass harvesting in peatland (proof of Option A or Option B)									
<b>3.6.1</b> Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 3.6.2) <span style="float: right;"><input type="checkbox"/> N/A</span>									
	I	3.6.1.1	Do national or sub-national laws apply in the country of the sourcing area to ensure that forest biomass is not sourced from land that was peatland in January 2008, unless evidence is provided that the cultivation and harvesting do not imply draining previously undrained soil, and are they sufficiently enforced?						
	I	3.6.1.2	Are the present legal provisions up-to-date and complete?						
	I	3.6.1.3	Do these laws fully cover the present sourcing area?						
	I	3.6.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						
	I	3.6.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
<b>3.6.2</b> Option B: Proof of control of the economic operator (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification) <span style="float: right;"><input type="checkbox"/> N/A</span>									
A	I	3.6.2.1	In the case that forest biomass stems from land that had the status of peatland in January 2008, can it be documented that the cultivation and harvesting do not imply draining previously undrained soil?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
		<b>3.7</b>	<b>Conservation and promotion of biological diversity</b> (proof of Option A or Option B)						
		<b>3.7.1</b>	<b>Option A: Proof of Risk Based Approach</b> (If N/A or a criterion is not fully met, continue with 3.7.2) <input type="checkbox"/> N/A						
	I	3.7.1.1	Do national or sub-national laws apply in the country of the sourcing area, which ensure that impacts on <b>biological diversity</b> are minimized and habitats and species protected, in accordance with sustainable forest management principles?						
	I	3.7.1.2	Are the present legal provisions up-to-date and complete?						
	I	3.7.1.3	Do these laws fully cover the present sourcing area?						
	I	3.7.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						
	I	3.7.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
		<b>3.7.2</b>	<b>Option B: Proof of control of the economic operator</b> (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification) <input type="checkbox"/> N/A						
A	I	3.7.2.1	Can it be ensured that the biomass does not originate from primary nor from old-growth forests?						
A	I	3.7.2.2	If the biomass was produced after 1 January 2008 on land with high biodiversity value, can it be demonstrated that biodiversity is not affected?						
A	I	3.7.2.3	Can it be confirmed for biomass from natural or semi-natural forests that the requirements for clear cutting are met?						
A	I	3.7.2.4	If the biomass originates from natural or semi-natural forests, can it be confirmed that the forest management aims to minimize impacts on biological diversity, degradation of biodiversity in the regenerated forest area is avoided and a locally and ecologically appropriate quantity and assortments of deadwood is left in the forest?						
A	I	3.7.2.5	If the biomass comes from forestry plantations, is it documented that the biodiversity in the area where the biomass is produced is at least maintained or promoted?						
A	I	3.7.2.6	If the biomass originates from forestry plantations, can it be documented that the areas on which clear-cutting has taken place are not larger than 100 ha, neighbouring plots have a perennial tree population and corridors for wildlife are available?						
A	I	3.7.2.7	Does a plan for the protection of endangered animal and plant species exist for the extraction area of the forestry biomass or is a comparable strategy in place?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates	
		<b>3.8</b>	<b>Maintaining soil quality (proof of Option A or Option B)</b>							
		<b>3.8.1</b>	<b>Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 3.7.2)</b>							<input type="checkbox"/> N/A
	I	3.8.1.1	Does the country of the sourcing area have laws at national or sub-national level which ensure that care is taken to <b>protect soil</b> during harvest operations and to regulate the use of stumps and roots, as well as needles or leaves, if appropriate?							
	I	3.8.1.2	Are the present legal provisions up-to-date and complete?							
	I	3.8.1.3	Do these laws fully cover the present sourcing area?							
	I	3.8.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?							
	I	3.8.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.							
		<b>3.8.2</b>	<b>Option B: Proof of control of the economic operator (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification)</b>							<input type="checkbox"/> N/A
A	I	3.8.2.1	Are soil-conserving measures taken into account and implemented in the management of the area?							
A	I	3.8.2.2	Is the area managed according to a recognisable plan that reduces traffic to a minimum?							
A	I	3.8.2.3	Can the operation plausibly demonstrate which measures have been taken to maintain the land in good forest and environmental condition?							
A	I	3.8.2.4	Can the operation prove that, in the case of full tree use, this has been done in accordance with a prior site assessment of the soil nutrient balance?							
A	I	3.8.2.5	Can the operation demonstrate that whole-tree use for the sole purpose of providing biomass fuels is excluded?							
A	I	3.8.2.6	In the case of soil protection liming and other compensation measures to safeguard the quality of the site, are these carried out on the basis of a soil or forest nutritional expert opinion or similar?							
A	I	3.8.2.7	Can it be confirmed that there are no recognisable signs of yield-increasing fertilisation in the area where the biomass is produced and can no signs of fertilisation be found in the documentation?							

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
<b>3.9</b> Maintaining the long-term production capacity of the forest (proof of Option A or Option B)									
<b>3.9.1</b> Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 3.7.2) <input type="checkbox"/> N/A									
	I	3.9.1.1	Are there laws at national or sub-national level in the country of the sourcing area which ensure that the forest harvesting does not exceed the annual growth on average except in cases where it is temporarily justified due to documented forest pests, storms or other natural disturbances; and that it does not hamper the forest's health and the related ecosystem services?						
	I	3.9.1.2	Are the present legal provisions up-to-date and complete?						
	I	3.9.1.3	Do these laws fully cover the present sourcing area?						
	I	3.9.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						
	I	3.9.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						
<b>3.9.2</b> Option B: Proof of control of the economic operator (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification) <input type="checkbox"/> N/A									
A	I	3.9.2.1	Does a management plan or other equivalent instrument exist for the sourcing area to record increment and stockpiling in the forest or forestry plantation and to plan timber harvesting accordingly to ensure ensured that annual felled timber amounts do not exceed net annual increment in the relevant sourcing area on average within the five-year period prior to the harvesting in-tervention?						
A	I	3.9.2.2	Is forest regeneration after harvesting of forest biomass carried out with tree species appropriate to the location?						
A	I	3.9.2.3	When harvesting the biomass, is attention paid to the maturity of the stocks or are appropriate exceptions documented?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
<b>3.10 Handling and application of plant protection products</b>									
<b>3.10.1 Proof of control of the economic operator</b> <input type="checkbox"/> N/A									
A		3.10.1.1	Can the operation provide evidence of activities in the sense of integrated pest management?						
A		3.10.1.2	Is the cultivation of the area carried out according to the currently valid principles and best available technology of integrated plant protection?						
A		3.10.1.3	Can it be seen that only authorised plant protection products are used and that the relevant areas of application (crop and harmful organism) and the specified application rules are observed?						
A		3.10.1.4	Can suitable records be kept of the plant protection products used depending on the type of crop (type, quantity, application date, area of application, reasons for application, etc.) and are they complete?						
A		3.10.1.5	Are all users appropriately trained and knowledgeable?						
A		3.10.1.6	Is suitable protective clothing available for the employees concerned?						
A		3.10.1.7	Are the plant protection products only applied with suitable spraying equipment and are the devices regularly checked and calibrated?						
A		3.10.1.8	Is direct discharge into surface waters avoided when plant protection products are used?						
A		3.10.1.9	Does the handling of pesticide residues and packaging comply with the applicable national or regional regulations?						
<b>3.11 Sustainable management of water resources/protection of groundwater resources</b>									
<b>3.11.1 Proof of control of the economic operator</b> <input type="checkbox"/> N/A									
A		3.11.1.1	Are the substances referred to in List I and List II of Directive 80/68/EEC handled in the stand in such a way that there are no direct or indirect discharges into groundwater?						
A		3.11.1.2	Is there proper disposal of the substances referred to in List I and List II of Directive 80/68/EEC, so that there is no reason to fear that there is any risk to groundwater?						
A		3.11.1.3	In the case of natural watercourses in the sourcing area, are necessary erosion control measures in place?						
A		3.11.1.4	In the event that irrigation measures, such as in forestry plantations, and where water is taken from groundwater or surface water, does the measure require an official permit or is it in accordance with the applicable specialised legislation in the sourcing area?						
<b>3.12 Issuance of a statement of assurance by biomass fuel installations</b>									
<b>3.12.1 Option A: Proof of Risk Based Approach</b> (If N/A or a criterion is not fully met, continue with 3.12.2) <input type="checkbox"/> N/A									
	I	3.12.1.1	Are there laws at national or sub-national level in the country of the sourcing area which ensure that the installations producing biomass fuel from forest biomass issue a statement of assurance that biomass is not sourced from lands where no biomass may be grown (detailed in Sections 3.4, 3.5, 3.6)?						
	I	3.12.1.2	Are the present legal provisions up-to-date and complete?						
	I	3.12.1.3	Do these laws fully cover the present sourcing area?						
	I	3.12.1.4	Is the existence of systems for ensuring monitoring, implementation and enforcement of the national and sub-national laws, including information on the following elements: authorities competent for carrying out monitoring, implementation and enforcement, sanctions for non-compliance, systems for appealing against decisions, and public access to information sufficiently documented?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
	I	3.12.1.5	There is no evidence that there is a significant lack of enforcement of the national and/or sub-national laws and regulations, including any on-going infringement procedure brought by the European Commission against a Member State, on the basis of relevant Union legislation, whereas the existence of a ruling of the Court of Justice against a Member State, for the violation of relevant Union legislation, such as Regulation (EU) No 995/2010 of the European Parliament and of the Council, shall be considered evidence of such a lack of enforcement.						

4		Balanced carbon stocks							
4.1		Ensuring compliance with LULUCF criteria (proof of Option A or Option B)							
4.1.1		Option A: Proof of Risk Based Approach (If N/A or a criterion is not fully met, continue with 4.1.2)						☐ N/A	
	I	4.1.1.1	Is the country of origin of the biomass or the regional economic integrating organisation a <b>Party to the Paris Convention</b> and - has a compliant NDC submitted or - a national or sub-national legislation in place to <b>conserve and enhance carbon stocks and sinks in forests</b> and ensuring that the reported LULUCF sector emissions do not exceed removals on average over the ten years preceding the harvesting of the forest biomass and that carbon stocks and sinks are conserved or enhanced between the last two <u>successive ten-year periods preceding the harvesting</u>						
	I	4.1.1.2	Are the present legal provisions up-to-date and complete?						
	I	4.1.1.3	Do these laws fully cover the present sourcing area?						
	I	4.1.1.4	Is the description of law enforcement and monitoring sufficiently detailed in terms of structures and processes, such as rights and duties of forest owners, requirements and regulations, competent authorities, departments and procedures, controls and sanction mechanisms?						
	I	4.1.1.5	There is no evidence that compliance with the applicable legal framework is not monitored by the competent authorities.						
4.1.2		Option B: Proof of control of the economic operator (Not required for proof for RBA if proof of option A has been provided, mandatory for proof of certification)						☐ N/A	
A	I	4.1.2.1	Can the operation document in a comprehensible manner either by proofing compliance on national level or by using the methodology and requirements of Regulation (EU) 2018/841 (LULUCF), that their sourcing area does not become a source of carbon as a result of the harvest operation?						

# Checklist: Forest biomass producers

Criterion Audit (A)	Criterion Inspection (I)	No.	Criterion / requirement	Conform	Minor	Major	Critical / KO	Not applicable (N/A)	Comments / description of the inspected documents / records / certificates
				Conform	Minor	Major	Critical / KO	N/A	
<b>Evaluation of the audit results</b>				Conform	Minor	Major	Critical / KO	N/A	<ul style="list-style-type: none"> <li><b>KO (no confirmation of conformity)</b></li> </ul>
Number of evaluations				0	0	0	0	0	0
Total of all evaluations (not including N/A evaluations)				0					
No. of points (Conform = 20 pts, Major = 15 pts, Minor = 5 pts, Critical = 0 pts, N/A = 0 pts, KO = no confirmation of conformity)				0	0	0	0	0	
Total of all points				0					
Max. number of points				0					
<b>Audit/Inspection result as a % (total of all points divided by the max. number of points * 100)</b>									

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 Checklist: Forest biomass producers / CL-FOREST-en-3.1 / Date: 10.10.2025, Valid from: 15.10.2025